

OREGON BOARD OF OPTOMETRY

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UPDATE

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STAFF: David W. Plunkett, Executive Director Cathy M. Boudreau Kathy A. Hanson

From the President

John Reslock, O.D.

It has been a privilege to take an active role in our profession and see it grow in its responsibilities. I remember those who thought we should not anesthetize or dilate the eye or we were going to have patients expiring right and left. The only thing that has happened is better care for the people of Oregon. This was back in 1975, when it was a big thing to get a fundus camera.

Times have changed. Many of us have ophthalmologists who see patients in our offices. If you had told me when I graduated in 1970 that I would have a pachometer, A-Scan, corneal topographer, a nerve head analyzer (GDX), a digital camera and have LASIK surgery actually done in my office, I would have wondered if you were on drugs. Not only that, I would not even have known what you were talking about. This is indeed an exciting time to be an Optometric Physician.

As our skills and responsibilities have grown, so also do our patient

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records need to improve. In the old days, we had notes for us to remember what we had done for our own use. Remember that the patient has a right to the information in the chart. Now, if

you did not write it, you did not do it. The Board often has trouble deciphering doctor's notes because of handwriting.

A problem that the Board sometimes encounters is that offices are unable to find patient charts. As practitioners, it helps us to have the patient's previous records so missing records can be a problem for other doctors, too. Misplacing charts provides poor care for that patient and is bad for the profession.

With the increased cost of education and equipment, many doctors feel the need to practice in more than one location. It is important that if they settle in a permanent location that they don't forget all the components to OAR 852-10-051. Remember, we need to keep patient records a minimum of seven years from the date of the last office visit or pertinent clinical notation. If the patient is a minor the records should be kept seven years or until the patient is 21 years of age, whichever is longer. It is considered unprofessional conduct if we do not retain the records, or transfer the records to a doctor of optometry. The frequent error is to not notify the OBO of any practice location changes and

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where the records are retained. If you are selling or buying a practice, or changing practice locations, my advice is to reread OAR 852-10-051. Remember, when we receive a complaint, we will request records. Patient records need to be sufficiently detailed and legible so that an appropriate provider can continue care without detriment to the patient.

Check Your Charts

Candace Hamel, O.D., V.P.

Charting remains a primary cause of problems O.D.'s can face when their patient records are scrutinized. The reasons for poor charting are varied. In the midst of a busy practice, some optometrists become careless. Sometimes they are still practicing similarly to when they graduated and they may fail to realize that standards in records have changed dramatically in the last few years. But whatever the reason, each physician needs to invest the time to ensure that their patient records meet current standards.

In evaluating your charts keep these recommendations in mind:

1. The patient's name and birthdate need to be on each page.
2. The doctor needs to have their readable name and signed initials for each exam (in multiple doctor offices, this is vital).
3. Each exam encounter needs to be in the SOAP format:
 - S - chief complaint and subjective symptoms.
 - O - objective findings to address the chief complaint.

A - an assessment of the cause of the symptoms.

P - the plan to address the problem.

Whether a patient is seen for a contact lens exam, a vision therapy appointment, a routine vision exam or a medical eye exam, or any other type of visit, this format is recommended.

4. Any encounter in the office that relates to care needs to be carefully documented, even when it is staff that is doing the interacting. And your staff need to sign their entries.

5. Make certain that charts are legible.

It is helpful to utilize your staff in reviewing your records regularly, even daily, to make certain that they reflect the quality of care that you provide. Periodically take a practice management program that includes charting updates. The Oregon Optometric Physicians Association has an excellent practice management program planned in early 2005 and others are available at major continuing education programs throughout the year. Oregon Administrative Rules



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852-10-027(20) and 852-10-051(1) specifically details what is required of optometric physicians regarding patient records.

Ethics/Oregon Law and Administrative Rules Course - Due with 2005 Renewal

Beginning with license renewal in January, 2005, and every other year thereafter, each active status licensee must prove attendance at one hour of either a Board approved ethics course or Oregon Law and Administrative Rules course as a condition of renewal. There have been several sources for obtaining this credit during the past year. Pacific University School of Optometry offers an ethics course online. A link to the Pacific online education is provided at the Board's website at www.oregonobo.org. David Plunkett and Doug Smith, O.D. presented a course on Oregon Administrative Law at the Oregon Optometric Physicians Association meeting at Seven Feathers Resort in June, 2004. OOPA plans to offer the course again at its annual meeting on June 3, 2005.

As continuing education providers make courses available, and they are approved, these will be posted on the Board's website at the link: "Continuing Education Reviewed by the Board". COPE approved courses that are categorized "Ethics" are also acceptable. Active status licensees should plan

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ahead in order to complete their course prior to the first day of their birth month in 2005.

Don't Let Your CPR Run Out!!

If you are currently certified by the Board to use, administer, and prescribe the nontopical formulary, you are required to maintain a current CPR certification based on the American Heart Association BLS Healthcare Provider course or its equivalent. Proof of a current CPR certification must be provided with your annual renewal in order to maintain your "AT" status. If your CPR certification expires before your renewal is due, you must show evidence at the time that it expires that you have renewed your CPR certification. Send the Board a copy of your new CPR card immediately after recertification occurs. If your CPR certification is not renewed by the time that it expires, then your AT certification by the Board will also expire as you will no longer meet the requirements. A \$50.00 fee will be required to reinstate your AT certification if your certification is rescinded because of a lapse in CPR certification. If you continue to practice using the nontopical formulary without current CPR certification, you may be subject to disciplinary action by the Board.

Nontopical TPA Update

To date, some 417 optometrists have taken either part or all of the coursework toward certification to use, administer, and prescribe the agents included in the non-topical formulary. Of those

417 optometrists, 310 have completed the certification process.

CLARIFICATION: Any optometrist who holds this certification, or any pharmaceutical certification administered by the Oregon Board of Optometry, is not and should not be represented to the public as "Board Certified". This designation is misleading to the public and the use of it may result in discipline by the Board. Please see the Executive Director's column for more detail.

PLEASE NOTE: Nontopical Certification does not automatically occur upon completion of the didactic and lab course. In order to be certified, one must complete the "Application for Examination and Licensure for Certification to Use Nontopical Pharmaceutical Agents" which can be found on the Board's [web page at www.oregonobo.org](http://www.oregonobo.org). The application should be sent to the office of the Board with copies of completion documents for the required coursework, a copy of a CPR certification for an approved course, and the appropriate fee. If you fail to obtain the proper certification from the Board prior to the administration, use, and prescription of the nontopical formulary, you will be subject to disciplinary action by the Board.

The Oregon Optometric Physicians Association continues to sponsor the required injection lab coursework for certification in conjunction with Pacific University College of Optometry. At this time, there are no plans to present the Oregon didactic course again.

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Doctors who need to satisfy the twenty-three hour didactic requirement may take the Treatment and Management of Ocular Disease portion of the NBEO examination. A passing score on the 2002 or later exam will substitute for the didactic course. As an alternative to the TMOD, the Board has approved the 28-hour Washington AOT course, including the didactic and lab portions to satisfy the Oregon didactic requirement. None of the Washington Course will qualify as meeting Oregon's 7-hour injection lab requirement. The Board recommends that interested doctors complete their training while the courses are available, and to apply for certification with the Board prior to using the nontopical formulary in their practice. While the Board does not presently have any plans to require this certification for licensure in Oregon, it may be required in the future. As with TPA courses, which have grown hard to find for those doctors who are now required to be TPA certified for renewal of their license in 2005, the nontopical courses will probably not be easily available in the future.

From the Executive Director

David Plunkett

Occasionally the Board hears of optometrists that are using the term "Board Certified", or some similar variation of it, in their advertisements. The most recent one to come to the attention of the Board is "Board Certified in

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the Treatment and Management of Ocular Disease". You might wonder what is wrong with this ad. As you know, the Board has certified optometrists for years in pharmaceuticals beginning with diagnostic pharmaceutical agents in 1976, therapeutic pharmaceutical agents in 1994, and topical and nontopical agents (advanced therapeutics) in 2003. However, the term Board Certified has traditionally been the province of medical doctors with specialty training and education beyond the Doctor of Medicine degree. Optometry cannot use this term without causing confusion for the public and other professionals in the health care system unless it is very specifically delineated. In the instance referred to above, if the ad said "Certified by the Oregon Board of Optometry in the Treatment and Management of Ocular Disease" there would be nothing wrong with it. Any potential confusion with board certified medical doctors has been eliminated.

A similar situation exists with the use of the word doctor and practitioners such as podiatrist, chiropractor, dentist, naturopath, osteopath, acupuncturist, veterinarian, and physician. Oregon statutes (ORS 676.110) require these practitioners to use a specific word or words when referring to themselves. For optometry, a person practicing optometry is required to use the word "optometrist" or words "doctor of optometry" or "optometric physician" in order that there is not confusion over the type of doctor.

Please keep these matters in mind when advertising your practice. This applies to all written and verbal advertising as well as on forms used in the office such as

letterhead, business cards, signs on the door or building, etc. Compliance will benefit you the practitioner and the profession of optometry.

On a different note, the Board is concerned that, as indicated in the Nontopical TPA Update section above, there are 81 optometrists that have taken all of the advanced therapeutics coursework that are not yet certified by the Board (as this newsletter is being published.) The concern is twofold. First, since the only things remaining for certification for these optometrists are taking the CPR course and submitting an application to the Board the process could be completed rather quickly. These doctors could then be using advanced therapeutics in their practices. The other concern is that doctors may be using advanced therapeutics in their practices without completing the certification process. This could be a potential problem for the individual optometrist and the profession. Please make sure that this does not occur. In an effort to facilitate a review of those AT certified optometric physicians, we have listed on Pages 6 and 7 of this newsletter all of the practitioners that have been certified by the Board. If your name is not on this list, and you think that you have been certified by the Board, please contact the Board office immediately so that we can check it. Otherwise, we would encourage optometric physicians to complete their certification process as soon as possible.

Contact Lenses & Expired Prescriptions

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A recent inquiry to the Board addresses the dispensing of "temporary" lenses to individuals who have an expired prescription. In the case of the inquirer, the individuals requesting the lenses were not patients previously under the doctor's care. The doctor had correctly held the position that she was not permitted to dispense any lenses without first consulting with the prescribing doctor to extend the expiration date. OAR 852-20-029(3)(d) indicates that a prescription must have an expiration date and OAR 852-20-029(5) indicates that a seller may not alter a contact lens prescription. If the expiration date has expired, the prescription is no longer valid and cannot be filled by an optometrist or any other seller. This does not preclude a prescribing physician from extending a prescription for an existing patient in order to dispense lenses.

Investigation Activities

In 2004, The Board reviewed 45 complaint cases. They resolved and closed 31 of these. Four of the cases resulted in discipline as follows:

Tony Gendvilas, O.D. - Stipulated Agreement; Violation of ORS 683.140(3) unprofessional conduct, or for gross ignorance or inefficiency in the profession, and care that was rendered that is not within the standard of practice currently followed by persons licensed to practice optometry in this state; inadequate and incomplete record keeping in violation of OAR 852-10-028, 852-10-051(1) and 852-10-027(20). Licensee agrees to complete the

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eight-hour "documentation and medical record keeping" class; complete 20 hours of therapeutic education classes in addition to the yearly license renewal requirements for continuing education; pay a \$3,000 civil penalty; submit patient records for review for 6 months following entry of the order; submit exam and dilation forms for Board review.

Stephen J. Graham, O.D. - Stipulated Order - Violation of ORS 683.140(2) Securing a license by practicing fraud or deceit upon the Board; ORS 683.140(3) Unprofessional conduct or for gross ignorance or inefficiency in the profession; OAR 852-10-027(1) Fraud, misrepresentation or dishonesty; (7) Unlawful use of drugs or controlled substances; (11) Willfully deceiving or attempting to deceive the Board, an employee of the Board, or an agent of the Board in reference to any matter under investigation by the Board; (16) Any conduct of practice contrary to recognized standards of ethics of the optometric profession; (18) Failing to give written notification to the Board of any disciplinary action or sanction related to the practice of optometry by any state licensing agency; and OAR 852-50-016(1) Failure to notify the Board immediately upon termination of practice in a location and (2) Failure to notify the Board in writing of address change. Licensee will be suspended from the practice of optometry for a four-year period. His license will be restricted for a six-year period beginning at the end of the four-year suspension prohibiting him from the practice of optometry in the State of Oregon during that six-year period. The four-year suspension and six-year prohibition on practice will run consecutively. During that ten-

year period Licensee will not be allowed to teach, sponsor, coordinate, or participate in any way in the State of Oregon in any continuing education programs relating to optometric education. If after the ten-year period is completed Licensee requests a reactivation of his license, the Licensee will be on probation with the Oregon Board of Optometry for five years from the date of reactivation. Licensee must not have been subject to any disciplinary orders as to any medical licenses held by Licensee that have been entered since the time of final signature of this stipulation until the time for reactivation of the license in any of the 50 states of the United States in order to qualify for consideration of reactivation of his license. Licensee must meet the requirements of the reactivation rule followed by the Board, OAR 852-50-014. Licensee agrees to pay a \$250 civil penalty.

Lindsay Clunes, O.D. - Stipulated Order - Violation of ORS 683.140(3) (unprofessional conduct, and for gross ignorance or inefficiency in the profession) and OAR 852-10-028, 851-10-051(1) and 852-10-027(15). Failure to fulfill the requirements of the Amended Stipulated Order dated April 9, 2004 by the failure of the TMOD and Part III of the NBEO examination twice, practicing below the standard of care for optometrists in Oregon and that patient records were incomplete. Licensee's license is suspended for a period of one year or until such time as Licensee successfully passes Part III and the TMOD of the NBEO examinations, whichever is shorter. Licensee is on probation for a one-year period that begins on the date his license suspension is removed. Licensee will provide copies to the Board for review of all patient records of diabetic

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patients treated within 14 days of exam. Two years from the date this Stipulated Order is fully executed, if Licensee has not successfully passed Part III and the TMOD of the National Board of Examiners in Optometry examinations he agrees to voluntarily surrender his Oregon optometry license.

Robert P. McKenzie, O.D.

Final Order by Default - Violation of ORS 683.180(7) and 683.020, practicing optometry in the State of Oregon without having at the time of so doing a valid unrevoked license as an optometrist. The proposed civil penalty was waived.

Oregon Optometric Physicians with AT Certification

Agost, Brett	Christiansen, J. Richard	Gilge, Leroy W.	Lee, Kenny
Anderson, Lance G.	Closson, Christi	Goldman, Bruce E.	Leech, Thomas G.
Anderson, Scott H.	Cloyd, Frances G.	Goodwin, Lynn E.	Leong, Pak-ho
Anderson, Todd G.	Cloyd, Karl E.	Gotcher, Mark	Letherer, Richard
Arbow, Timothy J.	Collier, Becky A.	Gouger, Gary R.	Lewis, Edward W.
Ardeleanu, Valentin	Cook, Heather R.	Graham, Stephen	Lewis, Scott
Asay, Donald R.	Cook, Rory	Griffith, Dawne R.	Lind, Jeffrey C.
Azpiroz, Lee	Coombs, Shaun	Gross, Christene F.	Lingel, Nada Jo
Baker, Allen W.	Corrado, John A.	Guiley, Ronald	Loftus, Kenneth A.
Banta, Aaron	Creed, Robert W.	Gurtisen, James M.	London, Richard
Barbour, Christopher	Cuevas, Ronald V.	Hale, James	Lorenzen, Bradley.
Barnes, Stephen A.	Curnutt, George W.	Halperin, Eric	LosieWilliam R.
Barringer, John L.	Curtis, Carlyle P.	Hamada, Kenji	Lusk, Chad
Bauer, Mauri D.	Damori, Dawn	Hamel, Candace D.	Lynch, Jessica A.
Beattie, Joseph C.	Dean, Kevin G.	Hampel, Ronald M.	Macmillan, Brian C.
Beckner, Daniel R.	Decalesta, Daran	Harper, Alyson E.	Maier, Heavin B.
Berk, Anne S.	Decalesta, Pamela	Harris, Kenneth L.	Mai-le, Kelly
Berk, Jr., William B.	Deck, Bryan H.	Hathaway, James R.	Mallett, Edward B.
Berry, Merle N.	Delplanche, Remy	Hay, Trevor	Mannen, Daniel L.
Berry, Christopher	Demaree, Julie A.	Hazell, Patricia	Mans, Raymond G.
Bishop, Daniel D.	Derstine, Daryn	Hemmer, Lorissa M.	Mans, Robert A.
Blair, Kevin D.	Detmer- Stone, Rosemary	Hoyt, Kimberly	Marin Jr., Jose
Blankenship, Sheryl K.	Downey, Shannon	Hoyt, Michael	Marshall, Gabrielle
Bonetto, Nancy M.	Drotzmann, David A.	Hudson, Allan J.	Marske, Benjamin
Briscoe, Barbara	Dukes, Eric Neilsen	Huggett, Darek	Martin, M. Stephen
Briscoe, Clayton M.	Dunn, Jr., William A.	Hursh, Rosiland	Martin, Mitch
Briscoe, William	Eakland, Kenneth W.	Hussey, Elaine	Marusich, Carol Emmel
Brown, Eric	Easly-Debisschop, Ann	Hydunchak, Daniel	Masalmeh, Jamal
Brown, Jordan D.	Ebeler, Angela	Im, Peter	Massih, Kamelia
Brown, Julie	Egger, Michael A.	Janecek, Jacob	Matti, Charles R.
Brown, Dean I.	Ehlen, Richard W.	Johnson, Donald J.	Mayer, Jennifer Marie
Brown, Dean R.	Elms, Leslie M.	Johnson, Christopher	Mcadoo, Theresa A.
Burk, Rick R.	Erenfeld, Nicole R..	Jun, Weon	Mcbride, David R.
Buset, Nancy	Erickson, Dina H.	Jung, Steve	Mcbride, David J.
Caldwell, Dan G.	Estes, Michael K.	Kane, Sarah Anne	Mcbride, Charles
Campagna, David N.	Fan, Yun	Kappel, Garry D.	Mccartney, Myles A.
Carkner, Jeffry M.	Fast, Mark J	Kittock, Julie A.	Mcdowell, Betsy D.
Carkner, David C.	Firth, Winona J.	Knutson, Linda Tran	Mctyier, Derek
Carmiencke,Chris	Fleming, Darrin	Knutson, Eric H.	Meier, Ronald H.
Carpenter, E. Powell	Fletcher, Marlaina D.	Kopp, Steven J.	Melara, Candice
Cates, Tyler	Flint, Delwin G.	Korten, Philip J.	Melore, Gerald G.
Cervinski, Theresa M.	Freedle, Eric S.	Kozak, Patricia	Merritt, Cathy
Chadwick, Gretchen I.	Frewing, Bert R.	Krauel, Robert J.	Michel, Beatrice Halperin
Chan, Jr., Lawrence	Froland, Lawrence A.	Krebsbach, John B.	Midson, Clare N.
Chase, Donald D.	Gabel, Donald W.	Krisciunas, Tammie	Miller, Joan Ploem
Cheslock, James P.	Garton, Michael L.	Lam, Frank Y.k.	Monkman, Michelle M.
Chown, Rebecca	Gates, Patricia B.	Lam, George K.	Mont, Bobin
	Gauer, Bonnie M.	Lam, Linh Y.	Morey, Jeffrey A.
	Gaynor, Michel	Laske, Nicole	Mulder, Edward N.
	George, Mark A.	Lawson, Chad	Munk, Roger N.
	Gerig, Wayne L.	Leasher, Janet L.	Nehring, Scott L.
	Gianelli, Laura M.	Lebreton, Ryan M.	Noles, Jr., Omar J.
	Gibbs, Robert D.	Lecren, Gilbert	Norris, Jessica

Nudelman, Avrel V.	Smith, Douglas G.
Nuffer, Jared S.	Smith, Jack F.
Ostermeier, Mark A.	Smith, Bradley G.
Overton, Scott H.	Snyder, Richard P.
Parker, Kirk J.	Stanley, Scott
Parker, Bruce W.	Starchvick, Gerald R.
Parks, Joseph K.	Statton, Charles M.
Parsons, Bruce C.	Steckman, Terry L.
Patzer, Cheryl L.	Stern, Norman
Paulson, Wayne R.	Stockstad, Philip B.
Pearson, Dennis L.	Stoebner, Benjamin
Peek, Robert D.	Stoner, Michael W.
Pelson, Jeffrey	Storey, H. F.
Perkins, John E.	Strawn, Cynthia S.
Perry, Matthew R.	Summers, Allison
Peterson, Donald L.	Timpone, Carole A.
Phillips, Jerry	Tobin, Terry P.
Pinske, Tara	Tran, Thuy Chu
Randall, John W.	Tronnes, Steven F.
Randolph, James P.	Tuhy, Jr., Edwin
Receconi, Walter R.	Ueshiro, Lynn H.
Reeves, John	Urness, Jeffrey R.
Reslock, John P.	Vasche, Terri
Rhodes, Kristi L.	Vidlak, Daniel J.
Richardson, Brad C.	Vitiello, Paola
Richardson, Matthew	Vorpahl, Wesley N.
Rickman, John V.	Wagner, Steven R.
Ripper, Richard W.	Walker, Douglas J.
Robertson, Larry	Wallace, Rita D.
Robison, Daniel A.	Walters, Scott M.
Rolen, Robert D.	Walther, Gregory C.
Rosenow, Robert P.	Ward, Mari
Rosenstein, Diane R.	Wardle, Charles A.
Rush, John B.	Weber, Julie Ann
Salibello, Cosmo	Weekly, Ray J.
Saul, Catherine Bartels	Williams, Mark A.
Schleisman, Terry	Wojciechowski, Scott
Schober, Greg W.	Wojciechowski, Bruce R.
Schooler I, Rolf D.	Wolf, David A.
Schultz, Sara J.	Wong, Pamela
Schwartz, Michael W.	Wong, Jr, Ben H.
Scruggs, Steven C.	Woodman, William R.
Seidel, Cameron D.	Woodruff, Scott E.
Seitz, Jay A.	Yee, Lena H.
Settlemier, Jason B.	Yolton, Robert L.
Sharpe, Valerie	Yolton, Diane P.
Sheldon, Todd	Youngman, Lori A.
Shepard, Gerald H.	Yudcovitch, Lorne
Shih, Paul Han	Zamberlan, Suzanne T.
Silbernagel, Jeffrey	Zelada, Armando J.
Smith, James	Zieg, John A.
Smith, Dennis L.	
Smith, John A.	

REPORT OF BOARD ACTIVITIES

JANUARY, 2004 through NOVEMBER, 2004

LICENSING ACTIVITIES:	
ACTIVE LICENSEES	654
ACTIVE ATPA	304
ACTIVE TPA	340
ACTIVE DPA (ONLY)	10
INACTIVE/MILITARY LICENSEES	561
INACTIVE/MILITARY ATPA	5
INACTIVE/MILITARY TPA	309
INACTIVE/MILITARY DPA (ONLY)	211
INACTIVE/MILITARY NO TPA/DPA	36
TOTAL LICENSEES	1215
OTHER ACTIVITIES:	
APPLICATIONS FOR LICENSE RECEIVED	43
NEW LICENSES ISSUED	47
BY EXAMINATION	45
BY ENDORSEMENT	2
REACTIVATIONS/REINSTATEMENTS OF LICENSE	12
ATPA CERTIFICATIONS	110
TPA CERTIFICATIONS	2
ACTIVE LICENSEES	0
INACTIVE LICENSEES/MILITARY	2
LICENSE VERIFICATIONS COMPLETED	102
CE COURSES APPROVED/ENTERED	90
BOARD MEETING DAYS	4
COMPLAINTS:	
INFORMAL COMPLAINTS HANDLED BY STAFF	60
NEW FORMAL COMPLAINTS RECEIVED BY STAFF	35
FORMAL COMPLAINTS REVIEWED BY BOARD	66
NEW COMPLAINTS REVIEWED BY BOARD	27
COMPLAINTS RESOLVED AND CLOSED BY BOARD	31
DISCIPLINARY ACTION	4
NO DISCIPLINARY ACTION	27